First results from cryogenic target implosions on OMEGA\textsuperscript{a)}


Laboratory for Laser Energetics, University of Rochester, 250 East River Road, Rochester, New York 14623-1299

J. A. Frenje, C. K. Li, R. D. Petrasso,\textsuperscript{d)} and F. H. Séguin

Plasma Fusion Center, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139

K. Fletcher, S. Paladino, and C. Freeman

SUNY Geneseo, Geneseo, New York 14454

N. Izumi, R. Lerche, and T. W. Phillips

Lawrence Livermore National Laboratory, Livermore, California 94550

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Initial results from direct-drive spherical cryogenic target implosions on the 60-beam OMEGA laser system [T. R. Boehly, D. L. Brown, R. S. Craxton et al., Opt. Commun. 133, 495 (1997)] are presented. These experiments are part of the scientific base leading to direct-drive ignition implosions planned for the National Ignition Facility (NIF) [W. J. Hogan, E. I. Moses, B. E. Warner et al., Nucl. Fusion 41, 567 (2001)]. Polymer shells (1-mm diam with walls $<3$ μm) are filled with up to 1000 atm of D\textsubscript{2} to provide 100-μm-thick ice layers. The ice layers are smoothed by IR heating with 3.16-μm laser light and are characterized using shadowgraphy. The targets are imploded by a 1-ns square pulse with up to $\sim$24 kJ of 351-nm laser light at a beam-to-beam rms energy balance of $<3\%$ and full-beam smoothing. Results shown include neutron yield, secondary neutron and proton yields, the time of peak neutron emission, and both time-integrated and time-resolved x-ray images of the impeding core. The experimental values are compared with 1-D numerical simulations. The target with an ice-layer nonuniformity of $\sigma_{\text{rms}} = 9$ μm showed 30% of the 1-D predicted neutron yield. These initial results are encouraging for future cryogenic implosions on OMEGA and the NIF. © 2002 American Institute of Physics. [DOI: 10.1063/1.1458586]

I. INTRODUCTION

The base-line direct-drive ignition target design for the National Ignition Facility (NIF)\textsuperscript{1} is a thick cryogenic DT-ice layer enclosed in a thin CH shell.\textsuperscript{2,3} In direct-drive inertial confinement fusion (ICF)\textsuperscript{4} a spherical target is illuminated by a large number of laser beams to provide a spherically symmetric implosion. Target implosions with cryogenic DT fuel are planned using the 60-beam OMEGA laser system\textsuperscript{5} to validate the theoretically determined\textsuperscript{3} levels of laser and target nonuniformities required to achieve direct-drive ignition and gain on the NIF. The OMEGA cryogenic target designs are energy scaled from the NIF ignition designs.\textsuperscript{2,3} In particular, the OMEGA cryogenic targets driven by an energy-scaled ignition pulse are designed to be as “hydrodynamically equivalent” to the ignition capsule designs as possible. In this context, the constraints placed on the OMEGA cryogenic target designs include similar peak shell velocities, hot-spot convergence, in-flight aspect ratio, and stability properties as the NIF designs. To compare igniting and non-igniting target designs, the hot-spot convergence ratio is defined as the ratio of the radius containing 90% of the yield compared to the initial ice interface when propagating burn was deactivated. In addition, the principle sources of nonuniformity on OMEGA, which lead to a degradation in target performance, are similar to the NIF. For direct-drive ICF these sources are single-beam nonuniformity (“laser imprint”), drive asymmetry, inner ice surface, and outer surface roughness.

Ignition with direct-drive ICF relies on the generation of a “spark” in the compression “hot spot” to begin the nuclear burn.\textsuperscript{5} The hot spot is a high-temperature, low-density region surrounded by a low-temperature, high-density region into which the burn wave propagates, leading to significant energy gain. Target and laser illumination nonuniformities lead to distortions in the hot spot due to secular growth of low-order ($l \leq 10$) modes, and shell breakup, and mix due to the Rayleigh–Taylor (RT)\textsuperscript{7,8} growth of high-order ($l > 10$) nonuniformities. Previous cryogenic target experiments\textsuperscript{9–13} have used ice layers that were layered only by β-layering, but not thoroughly and carefully characterized. The targets generally performed poorly (the reported neutron yields did not exceed 0.2% of the 1-D predictions\textsuperscript{10}), and the results could not be
used to validate detailed multidimensional numerical simulations because of the missing information on the inner-ice-surface nonuniformity spectrum.

This article describes first experiments with layered and characterized cryogenic targets on OMEGA. These experiments have validated the technology to fill, layer, characterize, and shoot cryogenic targets. This paper is structured as follows: Section II presents the OMEGA cryogenic target designs. Section III describes the results of the characterization of the inner-ice-surface quality of the cryogenic targets. Results from the first cryogenic D$_2$ campaign on OMEGA are reviewed in Sec. IV. Section V gives an outlook on future improvements, and Sec. VI presents the conclusions.

### II. OMEGA CRYOGENIC TARGET DESIGNS

The basis for the OMEGA cryogenic designs is the NIF direct-drive, $\alpha=3$ ignition design, which consists of a 340-$\mu$m DT-ice layer encased in a thin (3-$\mu$m) plastic capsule of outer radius 1.69 mm.\textsuperscript{3} The laser pulse required for the ignition design is a 9.25-ns shaped pulse consisting of a 10-TW, 4.25-ns foot rising to a 450-TW peak drive for 2.5 ns. Although higher one-dimensional gains can be achieved for lower-adiabat implosions, the highest multidimensional gains for NIF energy and nonuniformity levels are predicted to be achieved for implosions driven on a adiabat between 3 and 4. One-dimensional hydrodynamic scaling arguments\textsuperscript{14} have been used to design the cryogenic targets for OMEGA. It has been shown\textsuperscript{14,15} that the energy, time, and laser power scalings are the following:

$$E \sim R^3, \quad t \sim R, \quad P \sim R^2.$$  

The NIF is designed to provide 1.5 MJ of energy with the $\alpha=3$ pulse shape, while OMEGA is capable of delivering 30 kJ. Thus the radius of an OMEGA capsule should be approximately 0.3 times the NIF design, i.e., 0.46 mm, with a 100-$\mu$m DT-ice layer inside a 1-$\mu$m-thick plastic shell. The equivalent OMEGA $\alpha=3$ pulse consists of a 0.75-TW foot rising to a 33-TW peak with an overall pulse length of 2.5 ns. Figure 1 shows a comparison between NIF and OMEGA targets and pulse shapes. One-dimensional hydrocode calculations predict a gain of 45 (neutron yield $Y_n=2.5 \times 10^{19}$), a hot-spot convergence ratio of 28, and a peak fuel areal density $\rho R_{\text{peak}} \approx 1300 \text{ mg/cm}^2$ for the NIF ignition design, and a neutron yield of $Y_n=1.8 \times 10^{14}$, a hot-spot convergence ratio of 20, and a peak fuel areal density $\rho R_{\text{peak}} \approx 300 \text{ mg/cm}^2$ for the scaled OMEGA cryogenic DT design.\textsuperscript{3} The “classical” definition of convergence ratio (the ratio of the minimum to the initial fuel-shell radius) is inappropriate for cryogenic targets since the inner ice layer forms part of the hot spot; therefore we use the hot-spot convergence ratio as defined in the Introduction. A detailed analysis\textsuperscript{15} shows that the energy-scaled targets have similar 1-D behavior of the shock timing and fuel adiabat as the ignition designs. The shorter density scale length of the OMEGA target leads to a lower laser energy absorption of 40% compared to 60% for the ignition design. Consequently the energy-scaled target has a slightly higher adiabat and a lower hot-spot convergence ratio of 20 compared to 28 in the ignition design.

The Rayleigh–Taylor (RT) instability can degrade target performance by breaking the spherical symmetry of the implosion. The RT instability occurs twice during the implosion: at the outer ablation surface as the shell accelerates inward and at the hot-spot/main fuel layer interface as the capsule decelerates at the end of the implosion. The RT instability is seeded by single-beam laser nonuniformity, drive asymmetry, feedout from the inner ice surface, and outer-surface roughness. Detailed 2-D ORCHID simulations\textsuperscript{3} have established the maximum levels of these nonuniformity sources to achieve ignition and gain on the NIF.

The analysis of these results shows that, for an outer-surface roughness of $\leq 1 \mu$m rms, individual beam-smoothing by two-color cycle, 1-THz, 2-D SSD with polarization smoothing (PS), and an on-target power imbalance of $< 2\%$ rms, a gain of 30 is predicted for the NIF (70% of 1-D). The OMEGA design has been shown\textsuperscript{3,15} to be more sensitive to the nonuniformity seeds than the NIF. This is attributed to the smaller hot spot in the OMEGA design, which can be more easily disrupted by the penetration of the cold spikes from the main fuel layer. For the same uniformity conditions as described for the NIF, the OMEGA-scaled $\alpha=3$ design is predicted to give 30% of 1-D yield.\textsuperscript{15}

### III. TARGET LAYERING AND CHARACTERIZATION

To create a 100-$\mu$m ice layer, the $\sim 3$-$\mu$m-thick, high-strength CH shells were filled with $\sim 1000 \text{ atm of } D_2$ in a
permeation cell inside the Fill/Transfer Station (FTS). The process of filling these capsules takes ~24 hours because the gas pressure can only be incremented in very small steps (0.7 atm/min) to avoid buckling of the thin shell due to the pressure gradient between higher pressure outside and lower pressure inside the targets. The targets are very slowly cooled (~0.1 K/min) to below the triple point of D₂ (18.72 K) to avoid bursting from the higher pressure of the warmer gas inside the targets. After transferring the targets from the FTS to the moving cryostat transfer cart (MCTC), the target assembly is inserted into the characterization station, where the targets are viewed using a high-magnification, high-fidelity optical system during the layering process. The layer is formed and maintained using an IR laser at 3.16-μm wavelength, which is preferentially absorbed in the D₂ ice. This creates a temperature gradient between the cooled layering sphere, which surrounds the target, and the gas/ice interface inside the target. Thicker parts of the ice layer are heated preferentially, increasing the sublimation rate in the hotter parts, while the gas preferentially refreezes at the colder parts of the ice layer. This results in a net layering effect that leads to equal ice-layer thickness over the whole sphere. The inner-ice-surface nonuniformities are characterized using a shadowgraphic technique as shown in Fig. 2. The parallel rays from a light source are refracted at the plastic shell and reflected by total internal reflection from the inner ice surface, thus forming a virtual bright ring. The bright ring in the recorded image is unrolled using the center of the shadow of the plastic shell as a reference point, providing a graph with the distance of the inner ice surface from the center of the shell as a function of angle. The difference in the measured distance of the ice surface from a perfect circle is then decomposed into cosine modes, resulting in a nonuniformity spectrum of the inner ice surface. These measurements provide only a 2-D representation of the inner-ice-surface nonuniformity along one circular cut through the sphere. Several such measurements, along different lines of sight, can be performed to fully map the 3-D nonuniformity spectrum.

IV. CRYOGENIC TARGET IMPLICATIONS

The cryogenic targets used in these first experiments were ~930-μm-diam, ~3-μm-thick shells of high-strength CH with 100-μm-thick D₂-ice layers. The targets were characterized along one line of sight and showed an inner-ice-surface roughness of $\sigma_{rms} > 9 \, \mu m$, with the most power (~90%) in the three lowest modes. A 1-ns square pulse at ~24-kJ laser energy was used with the best single-beam smoothing available [distributed phase plates (DPP)], polarization smoothing with birefringent wedges (PS), 2-D, single-color-cycle, 1-THz smoothing by spectral dispersion (SSD)], and optimized energy balance (<3% beam-to-beam). The calculated time-dependent, on-target overlapped nonuniformity ($\ell = 1-500$) due to single-beam nonuniformity assuming perfect beam-to-beam power balance for 1-THz SSD with PS is less than 1% after 300 ps. When beam overlap on target is included, the on-target nonuniformity due to beam-to-beam energy imbalance is less than 1% ($\ell \leq 12$). This level of single-beam laser nonunifor-
mity and drive asymmetry is close to that specified in Sec. II. However, the current levels of inner-ice-surface roughness \( \sigma_{rms} \approx 9 \mu m \) significantly exceed the design goal \( \sigma_{rms} \approx 1 \mu m \). A 1-ns square pulse was used to make the implosion less sensitive to instability growth than the \( \alpha=3 \) design described in Sec. II. This pulse puts the target on an \( \alpha=25 \) adiabat and leads to a predicted hot-spot convergence ratio of approximately 10. One-dimensional LILAC simulations predict a neutron-averaged areal density of \(-40 \text{ mg/cm}^2 \), an ion temperature of approximately 2 keV, and a neutron yield of \( 1.0 \times 10^{11} \). Five target shots were successfully performed in a two-week experimental campaign. All targets showed good neutron yield performance \((3.5 \times 10^{10})\), ranging from 4\% to 30\% of the clean yield (YOC) predicted by 1-D LILAC hydrodynamic simulations. Table I summarizes the performance measured by neutron and particle diagnostics of two target shots, 24089 and 24096, having ice-layer nonuniformities of \( \sigma_{rms}=19 \) and \( \sigma_{rms}=9 \mu m \), respectively. The performance is compared with 1-D clean calculations. Secondary in-flight fusion reactions of the Tritium (T) and Helium (He\(^3\)) ions produced in the DD fusion reaction can be used to infer fuel areal densities.\(^{23–26}\)

<table>
<thead>
<tr>
<th>Roughness ((\mu m))</th>
<th>Neutron yield ((^R Y_n)) (\times 10^{11})</th>
<th>Yield compared to 1-D</th>
<th>((^R T_n)_{\text{e}}) (keV)</th>
<th>Bang time (ns)</th>
<th>(Y_{2p}/Y_{\alpha})</th>
<th>(\langle{^R R}\rangle_{\text{hot}}) (mg/cm(^2))</th>
<th>(\langle{^R R}\rangle_{\text{total}}) (mg/cm(^2))</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-D</td>
<td>24089</td>
<td>24096</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(\sigma_{rms}=9 \mu m)</td>
<td>(1.0 \times 10^{11})</td>
<td>(1.26 \pm 0.1 \times 10^{10})</td>
<td>(3.05 \pm 0.1 \times 10^{10})</td>
<td>2.1</td>
<td>(9.0 \times 10^{-3})</td>
<td>14</td>
<td>40</td>
</tr>
<tr>
<td>(\sigma_{rms}=9 \mu m)</td>
<td>(2.0)</td>
<td>(2.9 \pm 0.5)</td>
<td>(3.5 \pm 0.5)</td>
<td>1.8</td>
<td>(8.0 \pm 0.4 \times 10^{-3})</td>
<td>(0.6 \pm 0.1 \times 10^{-3})</td>
<td>(5 \pm 1)</td>
</tr>
<tr>
<td>(\sigma_{rms}=9 \mu m)</td>
<td>(1.2 \times 10^{-3})</td>
<td>(1.8 \pm 0.1)</td>
<td>(1.7 \pm 0.1)</td>
<td>(1.2 \times 10^{-3})</td>
<td>(0.6 \pm 0.1 \times 10^{-3})</td>
<td>(0.8 \pm 0.1 \times 10^{-3})</td>
<td>(5 \pm 1)</td>
</tr>
<tr>
<td>(\sigma_{rms}=9 \mu m)</td>
<td>(14)</td>
<td>(5 \pm 1)</td>
<td>(7 \pm 1)</td>
<td>(40)</td>
<td>(20-30-58)</td>
<td>(12-25-38)</td>
<td>(40)</td>
</tr>
</tbody>
</table>

The areal density of the hot neutron-producing core \(\langle{^R R}\rangle_{\text{hot}}\) \((^R T_{\text{e}} \geq 0.5 \text{ keV})\) is inferred using the secondary proton to primary neutron ratio \(Y_{2p}/Y_{\alpha}\) from the in-flight fusion of He\(^3\).\(^{27}\) Due to the very short range of He\(^3\) in the colder fuel \((<1 \text{ mg/cm}^2 \text{ at } ^R T_{\text{e}} < 0.5 \text{ keV})\),\(^{28}\) the secondary proton production is confined to the hot parts of the core, if the electron and ion temperatures are assumed to be equal. This measurement saturates at about 10 mg/cm\(^2\) for a plasma electron temperature close to 3 keV, which is consistent with the measured neutron-averaged ion temperatures \(\langle{^R T_i}\rangle_{\alpha}\). The inferred values of \(\langle{^R R}\rangle_{\text{hot}} = 5 \text{ mg/cm}^2\) and \(\langle{^R R}\rangle_{\text{hot}} = 7 \text{ mg/cm}^2\) for target shots 24089 and 24096, respectively, are well below the saturation level. The predicted areal density of the hot neutron-producing core \(\langle{^R R}\rangle_{\text{hot}}\) \((^R T_{\text{e}} \geq 0.5 \text{ keV})\) at peak neutron production in the 1-D clean calculations is 14 mg/cm\(^2\).

The total areal density \(\langle{^R R}\rangle_{\text{total}}\) is measured using the downshift of the secondary proton spectrum.\(^{27}\) A relatively large spread is seen in the data, recorded by five proton detectors located in different ports around the target. Table I shows the minimum, average, and maximum values inferred. The predicted 1-D \(\langle{^R R}\rangle_{\text{total}}\) is given by the neutron-averaged

![FIG. 3. Shadowgraphic images of the targets for shots 24089 and 24096 shown in comparison with static x-ray pinhole camera (XRPC) images and x-ray framing camera snapshots. The XRPC images show the lighting up of the thin plastic shell on the outside of the target and the formation of a core in the center of the image. The XRFC images are recorded at peak compression with an exposure time of 40 ps. A clear correlation of the layer quality in the shadowgraphic images and the core quality in the XRPC and XRFC images can be seen.](image-url)
areal density. The measured average total areal densities are more than 60% of the 1-D clean predictions. The secondary neutron to primary neutron ratio \( Y_{2\text{n}}/Y_{\text{n}} \) can also be used to infer the total areal density.\(^{25,27}\) However, secondary neutron ratios are also sensitive to the temperature profile through the slowing down of the primary triton of the DD fusion reaction and the energy-dependent cross section of the reaction. In these experiments it is most probably saturated, given the relatively high \( \langle \rho R \rangle_{\text{total}} \) as inferred from the downshift of the secondary proton spectrum. In addition, secondary neutron ratios close to 1\(^{3}\)\(^{4}\)\(^{2}\)\(^{2}\) are seen in this model only if all the fuel has a temperature higher than 1 keV,\(^{25,27}\) which is a highly unlikely scenario in these experiments.

The measured and predicted bang times are very close, indicating that the laser absorption and hydrodynamic response of the cryogenic targets are accurately modeled in the 1-D clean calculations. The experimental data show that the YOC and the \( \langle \rho R \rangle_{\text{hot}} \) are most sensitive to the inner-ice-surface nonuniformities and improve significantly as the nonuniformity decreases. The neutron-averaged ion temperature and the bang time exhibit little sensitivity to the ice nonuniformity. Figure 3 shows static x-ray pinhole camera (XRPC) images and snapshots taken by an x-ray framing camera (XRFC) with an exposure time of 40 ps at peak compression compared with shadowgraphic images taken before the target shot. The XRPC images display the entire implosion from the thin plastic shell lighting up on the outside of the target at the beginning of the pulse to the formation of a core in the center of the image. A comparison of the two experiments clearly shows that shot 24096 starts with lower inner-ice-surface nonuniformities that carry over into a more integral and symmetric core. Neutron data confirm this behavior with 24096 achieving 30% YOC while shot 24089 had 16% YOC. This should be compared to noncryogenic implosion experiments on OMEGA which have achieved YOC of \( \sim 30\% \) for targets with acceleration-phase stability properties similar to the future \( \alpha=3 \) cryogenic implosions described in Sec. II.\(^{20}\)

Both the nuclear and x-ray data indicate that at the present level of inner-ice-surface nonuniformity, the target performance as measured by the YOC, the areal density of the hot neutron-producing core, and the integrity and symmetry of the x-ray core image are strongly affected by the quality of the inner ice layer. The other nonuniformity sources including laser imprint and drive asymmetry, which did not vary significantly during the experimental campaign, appear to be less important in these experiments.

**V. NEAR-TERM DEVELOPMENTS**

Recent layering studies have produced a much better layer quality (\( \sigma_{\text{rms}} = 3\, \mu\text{m} \)), with most of the amplitude in the two lowest-order modes. Figure 4 shows a shadowgraphic image of such a layer, together with the mode amplitude spectrum. If the two lowest-order modes of the inner-ice-surface nonuniformity are caused by nonuniformities in the temperature profile inside the layering sphere, they are relatively easy to improve by minor changes in the layout of the layering geometry.\(^{28}\) Other sources of ice-surface nonuniformity, like enhanced thermal resistivity at the plastic/ice interface, are under active investigation. Future experiments
TABLE II. Calculated clean 1-D performance of D₂ cryogenic target for three pulse shapes with different predicted fuel adiabats. The hot-spot convergence ratio is defined as the ratio of the radius containing 90% of the yield compared to the initial ice interface when propagating burn was deactivated.

<table>
<thead>
<tr>
<th>Pulse</th>
<th>Adiabat</th>
<th>Energy (kJ)</th>
<th>((ρR)_{peak}) (mg/cm²)</th>
<th>D₂ yield</th>
<th>Hot-spot CR</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-ns square</td>
<td>27</td>
<td>24</td>
<td>43</td>
<td>1.0\times 10^{11}</td>
<td>10</td>
</tr>
<tr>
<td>Ramp to flat</td>
<td>17</td>
<td>18</td>
<td>63</td>
<td>1.2\times 10^{11}</td>
<td>11</td>
</tr>
<tr>
<td>(\alpha=3)</td>
<td>3</td>
<td>30</td>
<td>212</td>
<td>8.8\times 10^{11}</td>
<td>20</td>
</tr>
</tbody>
</table>

will use lower-adiabat pulse shapes like the ramp-to-flat pulse shown in Fig. 5 together with targets with the recently improved ice layers, to achieve higher areal densities. At the time the layer quality reaches the design goal of \(σ_{rms}=1\) \(\mu\)m, low-adiabat pulses like the \(\alpha=3\) pulse will be used to obtain peak areal densities of more than 200 mg/cm² using D₂-ice layers. Table II summarizes the calculated clean 1-D performance and adiabat for the three different pulse shapes. Future cryogenic experiments using DT-ice layers, which are more massive than pure D₂ layers, should extend the accessible parameter space to peak areal densities of \(\sim 300\) mg/cm².

VI. CONCLUSION

This article has described the first experiments with the recently commissioned OMEGA Cryogenic Target Handling System. The cryogenic target design is energy scaled from the direct-drive ignition designs for the NIF. The major goal of these experiments is to study the various sources of non-uniformity and their influence on target performance. Similar one-dimensional behavior and stability properties of the OMEGA and NIF cryogenic target designs will facilitate the extrapolation of the cryogenic target studies on OMEGA to NIF targets. In particular, NIF direct-drive ignition targets will be less sensitive to instability growth because the hot spot in the NIF design is bigger than in the OMEGA cryogenic targets. The first cryogenic targets, using 1-ns square pulses, achieved 30% of 1-D yield and more than 60% of the predicted neutron-averaged areal density with an inner-ice-surface nonuniformity of \(σ_{rms}=9\) \(\mu\)m. At the present level of inner-ice-surface nonuniformity, the target performance is strongly affected by the quality of the inner ice layer. Recent improvements in the layering technique make it possible to produce much better ice layers, \(σ_{rms}=3\) \(\mu\)m, with the prospect of achieving the design goal of 1 \(\mu\)m in the near future. Targets with improved inner-ice-surface quality will be used with lower-adiabat pulses to substantially increase the fuel areal density. In summary, these very encouraging initial results are a major step on the path that leads to high-density compressed cores in direct-drive target implosions on OMEGA and to direct-drive ignition on the National Ignition Facility.

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22 First results from cryogenic target implosions on OMEGA